



AGI INFRA LTD.
(BUILDERS & DEVELOPERS)

CIN : L45200PB2005PLC028466

Jalandhar Heights, 66 Ft. Road, Village Pholriwal, Near Urban Estate Phase-II, Jalandhar City (Punjab)-144001 INDIA
Phone : 0181-2681986 | Tel/Fax : 0181-2681886 | gi_builders@yahoo.co.in
info@agiinfra.com | www.agiinfra.com

June 29, 2021

The General Manager
The Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai-400001

Scrip Code: 539042

Sub: Annual Secretarial Compliance Report for the Financial Year ended on 31st March, 2021 under regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Provisions of Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019; please find enclosed herewith Annual Secretarial Compliance Report of the Company for the Financial Year ended on 31st March, 2021, issued by M/s Khanna Karan & Co, Practicing Company Secretaries, Jalandhar.

Kindly find the said Report in order and take on your record.

Thanking you,

**Yours faithfully,
For AGI Infra Limited**

Aarti Mahajan
**Aarti Mahajan
(Company Secretary &
Compliance Officer)**



Encl: As above



KHANNA KARAN & CO.

PRACTISING COMPANY SECRETARIES

(M) +78883-40827, 76962-91918, E-mail:- cskarankhanna@gmail.com

FIRST FLOOR, SOHAM COMPLEX, SEHDEV MARKET, JALANDHAR

Secretarial compliance report of M/s AGI INFRA LIMITED for the year ended 31ST March, 2021

I have examined:

- (a) all the documents and records made available to us and explanation provided by M/s AGI Infra Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31.03.2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; the same was not applicable
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; the same was not applicable
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; the same was not applicable
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; the same was not applicable
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018





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and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 17(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Non-compliance with the requirements pertaining to the composition of the Board.	There are Requirements of Six Directors as Per Regulation 17 for Top 2000 listed entities and Company is covered under Top 2000 wef 01.04.2020 .Company has Complied this requirement by Appointing Sixth Director on 28.11.2020.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations / remarks of the Practicing Company Secretary, if any.
1	BSE Ltd	Non-compliance	Fine	Company has



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		with the requirements pertaining to the composition of the Board	submitted reply for waiver of Fine and the Company has complied with Regulation 17(1)SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 on 28.11.2020 Reply is under Consideration by Waiver Committee of BSE
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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	Nil	Nil	Nil	Nil

For Khanna Karan & Co.

Practicing Company Secretaries



Karan Khanna

Prop.

M No. 38842

CP: 15871

UDIN: A038842C000528067

Place: Jalandhar.

Dated: 28.06.2021